



10/06/04

GP-3731
JW

Attorney Docket No. 593/004

Applicants : Thomas E. Borillo et al.
Application No. : 09/932,512 Conf. No.: 6335
Filed : August 17, 2001
For : EXPANDABLE IMPLANT DEVICES FOR
FILTERING BLOOD FLOW FROM ATRIAL
APPENDAGES
Group Art Unit : 3731
Examiner : Victor Nguyen

New York, New York 10020
October 5, 2004

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATION

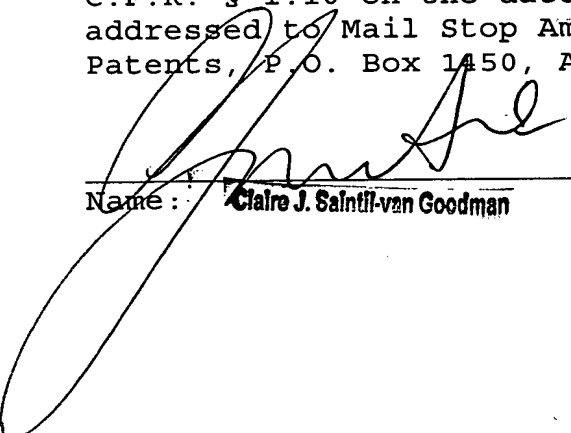
"Express Mail" Mailing Label No. EV133105390US

Date of Deposit October 5, 2004

I hereby certify that this certification and
the following papers and fees:

1. Third Supplemental Information Disclosure Statement (3 pp. - in duplicate);
2. Form PTO-1449 (1 p. - in duplicate);
3. Copies of four (4) cited references; and
4. Return postcard.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Name: **Claire J. Saintil-van Goodman**



EXPRESS MAILING LABEL
NO. EV133105390US

PATENTS
593/004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

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THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97,
applicants hereby make the following patent documents of
record in the above-identified patent application:

Van Tassel et al. U.S. Patent No. 6,551,303 B1
(April 22, 2003)

Van Tassel et al. U.S. Patent No. 6,652,555 B1
(November 25, 2003)

Van Tassel et al. U.S. Patent No. 6,652,556 B1
(November 25, 2003)

Van Tassel et al. U.S. Patent No. 6,689,150 B1
(February 10, 2004)

Copies of the aforementioned patent documents, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

Applications from which the aforementioned patents issued were cited in the specification of the instant application.

It is respectfully requested that the aforementioned patent documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

Applicants believe that this Third Supplemental Information Disclosure Statement is being mailed before the mailing of a first Office Action after the filing of a Request for Continued Examination. Accordingly, applicants believe that no fee is due in connection with this Statement. If, however, any such fee is due, the Director is hereby authorized to charge payment of the fee to Deposit Account No. 06-1075. A duplicate copy of this Statement is being transmitted herewith.

A favorable action is respectfully requested.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'E. Arons', written over a horizontal line.

Edward M. Arons
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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
593/004

APPLICATION NO.
09/932,512

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

APPLICANT
Thomas E. Borillo et al.

CONFIRMATION NO.
6335

FILING DATE
August 17, 2001

GROUP ART UNIT
3731

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,551,303 B1	04/2003	Van Tassel et al.			October 27, 1999
	6,652,555 B1	11/2003	Van Tassel et al.			August 18, 2000
	6,652,556 B1	11/2003	Van Tassel et al.			October 26, 2000
	6,689,150 B1	02/2004	Van Tassel et al.			July 11, 2000

U.S. PATENT APPLICATIONS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

